

## SSG CAPITAL ADVISORS, LLC

### BUSINESS CONTINUITY SUMMARY STATEMENT

SSG Capital Advisors, LLC has developed a Business Continuity Plan regarding how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

**Contacting Us** – If after a significant business disruption you cannot contact us as you usually do at [mchesen@ssgca.com](mailto:mchesen@ssgca.com), [mkarlson@ssgca.com](mailto:mkarlson@ssgca.com) or (610) 940-5801, you should call our alternative number at (610) 937-2356 or go to our web site at [www.ssgca.com](http://www.ssgca.com) where you may be able to find further information.

**Our Business Continuity Plan** – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting our books and records, and allowing our clients and investors to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data back up and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; the impact on critical suppliers, contractors, banks and other counter-parties; regulatory reporting; and assuring our clients and investors always have prompt access to us. SSG never has any of our clients' or investors' funds or securities.

We back up important records to a secure off-site location. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, we possess the capabilities to restore own operations and be able to restore all existing data in under 1 business day. Your communications with us may be disrupted until such time as our data is restored.

**Varying Disruptions** – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within one business day. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business within 2 business days. In either situation, we plan to continue in business, and notify you through our web site at [www.ssgca.com](http://www.ssgca.com) or our customer emergency number, (610) 937-2356 how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our customer's prompt access to their funds and securities.

For more information – If you have questions about our business continuity planning, you can contact us at (610) 937-2356 or [mchesen@ssgca.com](mailto:mchesen@ssgca.com).